



# Spectral and timing evolution of the bright failed outburst of the transient black hole Swift J174510.8–262411

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## ABSTRACT

We studied time variability and spectral evolution of the Galactic black hole transient Swift J174510.8–262411 during the first phase of its outburst. *INTEGRAL* and *Swift* observations collected from 2012 September 16 until October 30 have been used. The total squared fractional rms values did not drop below 5 per cent and quasi-periodic oscillations (QPOs), when present, were type-C, indicating that the source never made the transition to the soft-intermediate state. Even though the source was very bright (up to 1 Crab in hard X-rays), it showed a so called failed outburst as it never reached the soft state. XRT and IBIS broad-band spectra, well represented by a hybrid thermal/non-thermal Comptonization model, showed physical parameters characteristic of the hard and intermediate states. In particular, the derived temperature of the geometrically thin disc blackbody was about 0.6 keV at maximum. We found a clear decline of the optical depth of the corona electrons (close to values of 0.1), as well as of the total compactness ratio  $\ell_h/\ell_s$ . The hard-to-hard/intermediate state spectral transition is mainly driven by the increase in the soft photon flux in the corona, rather than small variations of the electron heating. This, associated with the increasing of the disc temperature, is consistent with a disc moving towards the compact object scenario, i.e. the truncated-disc model. Moreover, this scenario is consistent with the decreasing fractional squared rms and increasing of the noise and QPO frequency. In our final group of observations, we found that the contribution from the non-thermal Comptonization to the total power supplied to the plasma is  $0.59^{+0.02}_{-0.05}$  and that the thermal electrons cool to  $kT_e < 26$  keV.

**Key words:** accretion, accretion discs – black hole physics – radiation mechanisms: non-thermal – stars: individual: Swift J174510.8–262411 – Gamma-rays: general – X-rays: binaries.

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## 1 INTRODUCTION

Galactic black hole binaries (BHB) emit strong  $X/\gamma$ -ray radiation when accreting matter from the stellar companion. Most of them are transients, i.e. they spend most of the time in a dim, quiescent state, displaying X-ray luminosities as low as  $L_X \sim 10^{31} \text{ erg s}^{-1}$ , spaced out by episodic outbursts during which the sources show X-ray luminosities of  $L_X \sim 10^{36-39} \text{ erg s}^{-1}$ . Based on the different  $X/\gamma$ -ray spectral properties, BHBs are known to show different spectral states over their outbursts (Zdziarski & Gierkiński 2004; McClintock & Remillard 2006). Usually, the spectral variability is interpreted as being due to changes in the geometry of the central parts of the accretion flow (Zdziarski 2000; Done, Gierkiński & Kubota 2007).

At the beginning of the outburst, these sources are in the hard state (HS) with the spectrum roughly described by a dominant cut-off power law (typically, photon index  $\Gamma \sim 1.5$  and high-energy cut-off  $E_{\text{cut}} \sim 100 \text{ keV}$ ) and (often) a faint soft thermal component with a blackbody temperature  $kT_{\text{in}} < 0.3 \text{ keV}$  ascribed to the emission from an accretion disc truncated at large distances from the central BH ( $\sim 100 \text{ km}$ ; Done et al. 2007). The hard X-ray emission in the HS is believed to originate from thermal Comptonization of soft disc-photons in a hot electron cloud (Eardley, Lightman & Shapiro 1975; Sunyaev & Titarchuk 1980). Thanks to the large area of the *RXTE* satellite, it was observed that the spectral states are related to the timing properties (Homan & Belloni 2005; Belloni 2011). The power density spectrum (PDS) of sources in the HS can be decomposed into a number of broad Lorentzian components and sometime with type-C quasi-periodic oscillations (QPOs). The type-C QPOs are characterized by a strong (up to 16 per cent rms), narrow ( $\nu/\Delta\nu \sim 7-12$ ), and variable peak frequency. A subharmonic and a second harmonic peak are sometime seen (Wijnands, Homan & van der Klis 1999; Casella, Belloni & Stella 2005). Strong band-limited noise components with rms values of about 30 per cent are observed and the radio emission indicates the presence of a steady compact jet (Fender 2001).

As the outburst progresses, the X-ray and radio luminosities both increase, but the X-ray colour of the spectrum remains hard (Corbel 2005). Then, the transient BHB evolves into an intermediate state at an almost constant flux level with spectral parameters in between the two main states. The disc blackbody shows typical temperatures of  $kT_{\text{in}} \simeq 0.3-0.5 \text{ keV}$ , while the hard X-ray spectra are usually explained with the hybrid thermal/non-thermal Comptonization (e.g. Zdziarski et al. 2004; Done et al. 2007; Del Santo et al. 2008). Based on quite complex timing characteristics, Homan & Belloni (2005) identified two different intermediate states, namely the HIMS and the soft-intermediate state (SIMS). Despite slight spectral softening, the PDS before and after the HIMS-to-SIMS transition showed significant differences: from rms values about 10–20 per cent and strong type-C QPOs to much weaker noise (a few per cent) and (possibly) type-B QPOs (see Belloni 2011 for a recent review). However, based on the  $X/\gamma$ -ray spectra of BHBs, a firm separation between HIMS and SIMS cannot be established since this transition can display different properties in different outbursts (Del Santo et al. 2008, 2009).

Thereafter, most BHBs reach the second main state, namely soft state (SS), which is characterized by a thermal component, i.e. the multicolour blackbody emission from an accretion disc (Shakura & Sunyaev 1973) peaking at a few keV and much softer high-energy power law (Zdziarski 2000,  $\Gamma > 2.5$ ). In the framework of the truncated-disc model, in the SS, the geometrically thin accretion disc is believed to extend down to the innermost stable circular orbit

(ISCO)<sup>1</sup> and it is the source of soft seed photons for non-thermal Comptonization in small active coronal regions located above and below the disc (Zdziarski & Gierkiński 2004). In this state, the variability is in the form of a weak (down to 1 per cent fractional rms) steep component. Very weak QPOs are sometimes detected in the 10–30 Hz range (Belloni 2010). When the flux starts to decrease a reverse transition occurs until the source is back to the HS then to quiescence.

Despite the general pattern followed during most of the outbursts of transient BH binaries, a number of unusual outbursts, in which sources do not show SS, have been observed. There are different types of these so called ‘failed’ outbursts: a number of sources never leave the HS during the outburst (Brocksopp, Bandyopadhyay & Fender 2004), while others proceed to an intermediate state before returning to the HS and quiescence (Capitanio et al. 2009; Ferrigno et al. 2012; Soleri et al. 2013). It is worth noting that some sources have undergone both canonical outbursts and failed outbursts (Sturmer & Shrader 2005). Since most of the failed outburst are underluminous, the lack of SS transitions is possibly connected to a premature decrease of the mass accretion rate, as during the 2008 outburst of H1743–322 (Capitanio et al. 2009).

The X-ray source Swift J174510.8–262411 (hereafter SwJ1745) was discovered by the Burst Alert Telescope (BAT; Barthelmy et al. 2005) on board the *Swift* satellite on 2012 September 16 (Cummings et al. 2012). Almost simultaneously observed by the *INTEGRAL* satellite, SwJ1745 appeared immediately as a bright Galactic BHB, since the 20–40 keV flux increased from 63 mCrab up to 617 mCrab in 1 d (Vovk et al. 2012). Soon after a number of multiwavelength campaigns, including *Swift*/XRT (Tomsick, Del Santo & Belloni 2012), were performed. Optical spectroscopy and photometry inferred an orbital period  $\lesssim 21 \text{ h}$ , a companion star with a spectral type later than A0 and a distance closer than  $\sim 7 \text{ kpc}$  (Muñoz-Darias et al. 2013). Multifrequency data from radio arrays showed that a discrete ejection event occurred for the first time in a ‘failed’ outburst (Curran et al. 2014; see radio flares indicated in Fig. 1). These events are expected in the intermediate state when the source crosses the ‘jet-line’ (Fender, Homan & Belloni 2009 and reference therein). As reported in Fender et al. (2009), the time coincidence of the crossing of the jet line and the HIMS-SIMS transition is not exact. However, in SwJ1745 the SIMS seems to be never reached.

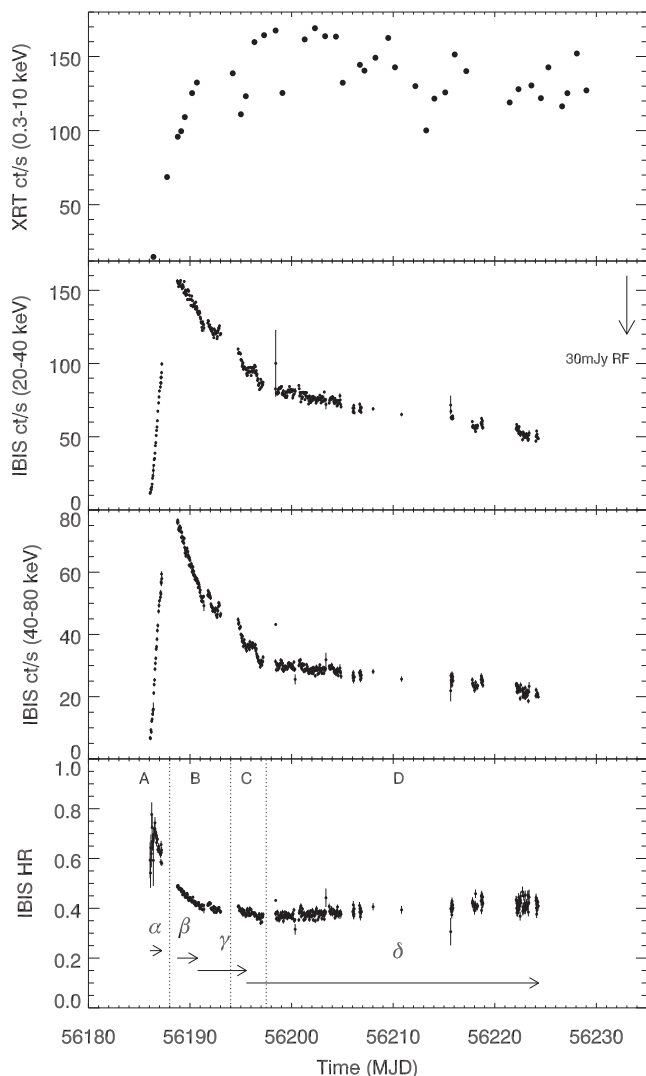
In this paper, we present spectral and timing results of two Target of Opportunity campaigns performed during the first part of the SwJ1745 outburst, i.e. 1 Ms with *INTEGRAL* (P.I.: Belloni) and a number of *Swift*/XRT pointings (P.I.s: Del Santo and Sbaruffatti), while the decay of the same outburst (beginning of 2013) is reported in Kalemci et al. (2014).

## 2 OBSERVATIONS AND DATA REDUCTION

### 2.1 INTEGRAL

We have analysed the *INTEGRAL* (Winkler et al. 2003) data of SwJ1745 collected in the period 2012 September–October. The IBIS (Ubertini et al. 2003) data set has been obtained selecting all observations including SwJ1745 in a partially coded field of view (FOV) of  $15^\circ \times 15^\circ$  where the instrument response is well known. This resulted in 438 pointings (Science Window, SCW)

<sup>1</sup> Note however that a number of papers report on discs remaining at the ISCO in HS (Miller et al. 2006; Reis, Fabian & Miller 2010; Reynolds et al. 2010; Miller et al. 2012).



**Figure 1.** From the top: XRT count rate extracted after the pile-up correction in the energy range 0.3–10 keV (errors are smaller than the symbols size); IBIS/ISGRI count rate in the energy range 20–40 keV and 40–80 keV; IBIS/ISGRI hardness ratio defined as the ratio of the hard rate divided by the soft one. In the panel 2 (from the top), the time of the radio flares reported in Curran et al. (2014) is marked. In the IBIS HR panel, the periods used to the joint XRT–IBIS (A, B, C, D) and JEM–X–IBIS ( $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ) spectral analysis are indicated.

from *INTEGRAL* revolution 1212 up to 1224. The *INTEGRAL* data analysis and reduction has been performed with the off-line analysis software, OSA v.10.1 (Courvoisier et al. 2003; Goldwurm et al. 2003). After the data reduction and correction (i.e. dead time), the total IBIS/ISGRI (Lebrun et al. 2003) effective observing time is roughly 650 ks. The IBIS/ISGRI light curve in the 20–40 and 40–80 keV bands and the related hardness ratio are shown in Fig. 1. Spectra by SCW (1.7–3.5 ks of duration) have been extracted in 62 channels from 20 up to 500 keV.

In order to increase the statistics, the 438 spectra were averaged as follows. First, based on the discontinuities in the observations we identified three groups (A, B and C, see Fig. 1, bottom); the last group (D) contains all observations (even those that were not continuous) of the last part of the outburst observed by *INTEGRAL* in 2012 (Fig. 1, bottom). Then, within each group, spectra have been averaged as reported in Table 1 resulting in 26 final spectra.

Because of the low statistics the last subgroup, i.e. D4, includes 104 spectra instead of 48. Due to the known IBIS/ISGRI calibration issue related to the energy reconstruction, systematics of about 3–4 per cent are required by the spectral fits to avoid large residuals at energy around 50 and 100 keV.

Quasi-simultaneous *INTEGRAL*/JEM–X (Lund et al. 2003) data have been analysed. Because of the smaller JEM–X FOV, the total number of analysed pointings is 116 (see Table 2). JEM–X2 spectra have been extracted in 32 channels. Although recommendation from the JEM–X calibration team is to use the energy range 5–22 keV, we fitted spectra from 6 up to 18 keV by adding systematics of 3 per cent because of additional residuals appearing especially in bright and variable sources.

## 2.2 Swift

The *Swift*/XRT monitoring campaign of the outburst of SwJ1745 was performed mainly in Windowed Timing (WT) observing mode. The XRT observations used for this paper were performed quasi-simultaneously with the *INTEGRAL* ToO campaign, i.e. from September 16 to October 30, 2012 (see XRT count rate in Fig. 1). A journal of the observations is given in Table 3.

To obtain XRT spectra, data were processed using the *FTOOLS* software package distributed inside *HEASOFT* (v6.16) and the related calibration files from the NASA Calibration Database. We ran the task *xrtpipeline* applying calibrations and standard filtering criteria. Events with grades 0 were selected, in order to reduce the effect of energy redistribution at low energies that is known to affect XRT data for bright, heavily absorbed sources.<sup>2</sup> For the same reason spectral analysis was performed in the 1–10 keV energy band. Due to the high count rates, the data are affected by pile-up starting with sequence 1. The pile-up correction for the WT data was performed following the same procedure used by Romano et al. (2006), determining the size of the region to be excluded from the photon extraction studying the event grade distribution. Source photons were thus extracted from an annular region with an outer radius 30 pixels (1 pixel = 2.36 arcsec) and an inner radius 0 to 4 pixel, depending on count rate (see Table 3).

According to the XRT calibration document,<sup>3</sup> high signal-to-noise WT spectra typically show residuals of about 3 per cent near the gold edge (2.2 keV) and the silicon edge (1.84 keV), and can be as high as 10 per cent. We obtained good fits by including 3 per cent of systematics in the XRT spectra and in a few cases (when the residuals around the edges were higher) we ignored the channels between 1.8 and 2.4 keV.

From each XRT observation we have extracted a light curve with 0.003532 s time resolution from a region 40 pixels wide centred on the source. For timing analysis, we produced Leahy-normalized PDS from 128 s segments and averaged them, obtaining one average PDS per observation. The power spectra cover the frequency range from 7.8125 mHz to 142 Hz. We subtracted the Poissonian noise contribution estimated as the mean power level above 20 Hz, where no source signal was seen. We converted the PDS to squared fractional rms (Belloni & Hasinger 1990).

<sup>2</sup> see [http://www.swift.ac.uk/analysis/xrt/digest\\_cal.php](http://www.swift.ac.uk/analysis/xrt/digest_cal.php) for details.

<sup>3</sup> see [http://heasarc.gsfc.nasa.gov/docs/heasarc/caldb/swift/docs/xrt/SWIFT-XRT-CALDB-09\\_v19.pdf](http://heasarc.gsfc.nasa.gov/docs/heasarc/caldb/swift/docs/xrt/SWIFT-XRT-CALDB-09_v19.pdf)

**Table 1.** Observations log of the 26 IBIS data set used for the spectral modelling. Group name (Group), *INTEGRAL* revolution (Rev), total number of pointings ( $N_{SCW}$ ), grouping step of the pointings (Step), effective observing time (Obs), number of spectra obtained in the related group (Subgroup), start time and end time of the four groups are shown. (\*) The last spectrum, D4, has been obtained by averaging the last 104 SCWs.

Group	Rev	$N_{SCW}$	Step	Obs (ks)	Subgroup	Start	End
A	1212	30	5	$\simeq 57$	6	2012-09-16T01:15:58	2012-09-17T05:34:09
B	1213–1214	100	10	$\simeq 72$	10	2012-09-18T17:34:10	2012-09-23T01:02:18
C	1215	60	10	$\simeq 43$	6	2012-09-24T17:07:31	2012-09-27T04:59:38
D	1216–1224	248	48(*)	$\simeq 467$	4	2012-09-28T09:28:38	2012-10-24T07:38:54

**Table 2.** Observations log of the JEM-X2 data set.

Group	Rev	$N_{SCW}$	Obs (ks)
$\alpha$	1212	5	$\simeq 16$
$\beta$	1213	13	$\simeq 43$
$\gamma$	1213–1215	17	$\simeq 56$
$\delta$	1215–1224	81	$\simeq 217$

### 3 SPECTRAL ANALYSIS WITH SIMPLE MODELS

First of all, we fit separately the 26 IBIS/ISGRI spectra and the 39 XRT spectra by using simple models, such as cut-off power law (Fig. 2) and an absorbed (*tbabs* in *XSPEC*) multicolour disc blackbody (*diskbb* in *XSPEC*) plus a power law (Fig. 3), respectively. We used cross-sections of Verner et al. (1996) and abundances of Wilms, Allen & McCray (2000) for the interstellar absorption and left  $N_H$  as a free parameter.

The power-law of the IBIS/ISGRI hard X-ray spectra (Fig. 2) steepens as the cut-off energy decreases in group A, until MJD 56188. Then, starting from group B, the cut-off starts to increase again until it stalls. This behaviour of the cut-off during the BHB outbursts has been already observed in GX 339-4 by Motta, Belloni & Homan (2009). However, cut-off power-law (*cutoffpl* in *XSPEC*) is an empirical model, which is only roughly related to the electron temperature of the Comptonizing plasma. In complex spectra, i.e. when additional components play a role (non-thermal Comptonization, reflection), the high energy cut-off does not reflect the evolution of the electron temperature of the corona. Indeed, in Section 5, we present results by using a physical model, i.e. the hybrid Comptonization model *eqpair*.

In Fig. 3, we show the evolution of the spectral parameters of the soft X-ray spectra. While the disc blackbody component is not needed in the first two spectra, its addition improves considerably the spectral fit of the following spectra (from MJD 56188). In spectrum #003 the F-test probability is  $6.5 \times 10^{-22}$ . The inner disc blackbody temperature ( $kT_{in}$ ) varies between 0.4 and 0.7 keV, the power-law photon index steepens from 1.5 up to 2.2 and then it stays constant, the  $N_H$  varies roughly between 1.4 and 1.7 during this part of the outburst. We note that the value of this parameter is significantly different than the one found by Kalemci et al. (2014) in the HS during the outburst decay ( $\sim 2.2 \times 10^{22} \text{ cm}^{-2}$ ).

Based on the XRT and ISGRI spectral behaviour, we conclude that the HS-to-HIMS spectral transition occurred during the gap (between A and B) in the *INTEGRAL* observations and since XRT pointing #003 (roughly at MJD 56188). This is also confirmed by the timing analysis (see Section 4).

### 4 TIMING ANALYSIS RESULTS

Each PDS was fit with a zero-centred Lorentzian (see Belloni, Psaltis & van der Klis 2002) and inspected for residuals. Then, PDS were fitted with a zero-centred Lorentzian plus a QPO, also modelled as a Lorentzian (Fig. 4B). The starting frequency was based on the residuals, and the starting width was typically 0.05–0.1 Hz (the final best-fitting parameters do have some dependence on the starting parameters). In some cases, the QPO is not statistically significant ( $< 3\sigma$ ). If another noise peak (broad or narrow) appeared in the residuals, then we fit the PDS with a combination of three Lorentzians, one of which zero-centred (Fig. 4C and 4D). This procedure led to the detection of a single QPO peak in 20 observations and two QPO peaks in about 10 observations, where the second peak is consistent with being the first overtone of the first peak. However, only in a few observations the second QPO seems to be significant ( $\geq 3\sigma$ ). A broad component in addition to the zero-centred Lorentzian plus a faint QPO is observed in the HS, pointing #000 (see Fig. 4A).

The evolution of the main timing parameters is plotted in Fig. 5 (left). The QPO centroid frequency increased as a function of time until MJD 56210, then it decreased again to become non-detectable after MJD 56215. At the same time, the noise break frequency increased and the total fractional rms decreased. On the other hand, the QPO fractional rms increased until roughly MJD 56188 and then it decreased. This is consistent with a typical evolution of HS and then HIMs.

In the harder *RXTE*/PCA energy band (2–40 keV), Muñoz-Darias, Motta & Belloni (2011a) found that below 5 per cent total fractional rms GX 339-4 entered the SIMS. Here, no observation shows a value below 5 per cent. Fig. 5 (right) shows the QPO centroid frequency as a function of total fractional rms, a good indicator to establish the QPO type (Motta et al. 2011). Comparing with fig. 4 of Motta et al. (2011), it is clear that all QPOs observed here are of type C, indicating that at least until MJD 56214 the source was in the HIMs (QPO frequency and rms only for those observations where the first QPO was significant have been plotted).

In order to relate the timing evolution with the spectral properties, we show the relation between the *Swift*/XRT power-law spectral index  $\Gamma$  and the total fractional rms (Fig. 6). The relation between these two parameters is, although noisy, roughly monotonic, without large outliers. Similar correlations have been reported in other sources both with spectral fit based parameters such as  $\Gamma$  (Grinberg et al. 2014) or empirical measures for the spectral shape, such as hardness (Muñoz-Darias et al. 2011b). The tight correlation between the total fractional rms and the QPO frequency (Fig. 5, right) implies a similar correlation between the QPO frequency and spectral shape. Overall, the timing parameters seem to trace the spectral behaviour, as expected in the canonical view of state evolution of BHBs.



**Table 3.** *Swift*/XRT log table of the pointings used in this work. The target ID is 533836. The average rate reported in this table has been extracted before the pile-up correction.

Seq. #	Beginning of obs.	End of obs.	Exposure (s)	Average Rate (cps)	Inner extraction radius (pix)
000	2012-09-16T09:37:11	2012-09-16T14:25:47	6502	18	0
002	2012-09-17T17:49:22	2012-09-17T19:32:17	996	93	1
003	2012-09-18T19:03:30	2012-09-18T22:18:50	990	131	1
005	2012-09-19T11:43:28	2012-09-19T16:21:57	982	152	1
006	2012-09-19T03:05:21	2012-09-19T05:18:15	976	158	1
007	2012-09-20T04:55:43	2012-09-20T05:09:58	833	171	1
008	2012-09-20T16:17:29	2012-09-20T16:34:58	1036	180	1
009	2012-09-24T04:59:09	2012-09-24T05:07:09	470	241	2
011	2012-09-25T00:12:25	2012-09-25T00:30:58	1096	268	2
012	2012-09-25T11:49:39	2012-09-25T12:05:58	966	312	3
013	2012-09-26T08:16:11	2012-09-26T08:36:58	1221	297	2
014	2012-09-27T07:02:45	2012-09-27T07:21:58	1140	359	3
015	2012-09-28T10:11:58	2012-09-28T10:16:58	278	363	3
016	2012-09-29T02:22:06	2012-09-29T02:38:58	993	352	3
017	2012-10-01T06:58:20	2012-10-01T07:14:58	969	384	3
018 <sup>a</sup>	2012-09-30T08:39:21	2012-09-30T08:56:58	103	477	4
019	2012-10-02T07:09:55	2012-10-02T07:28:58	1118	385	3
020	2012-10-03T07:14:03	2012-10-03T07:30:58	992	352	3
021	2012-10-04T08:45:34	2012-10-04T09:04:58	1143	360	3
022	2012-10-05T00:48:34	2012-10-05T01:03:58	901	398	3
023	2012-10-07T04:07:27	2012-10-07T04:21:57	857	412	4
024	2012-10-06T17:22:57	2012-10-06T18:34:21	972	390	3
025	2012-10-08T06:01:15	2012-10-08T06:17:55	973	367	3
026	2012-10-09T12:16:24	2012-10-09T12:31:58	913	360	3
027	2012-10-10T04:16:42	2012-10-10T04:32:58	968	353	3
029	2012-10-12T04:24:09	2012-10-12T04:39:58	939	311	3
030	2012-10-13T06:02:46	2012-10-13T06:19:58	1020	294	2
031	2012-10-14T01:17:47	2012-10-14T01:33:58	961	227	2
032	2012-10-15T02:57:15	2012-10-15T03:12:58	922	295	3
033	2012-10-16T01:24:17	2012-10-16T01:39:58	932	271	2
034	2012-10-17T04:39:41	2012-10-17T04:55:58	962	280	2
035	2012-10-22T08:04:47	2012-09-17T19:32:17	964	226	2
036	2012-10-21T11:14:04	2012-10-21T11:32:58	1110	292	2
037	2012-10-23T14:32:37	2012-10-23T14:47:57	915	268	2
038	2012-10-24T12:59:51	2012-10-24T13:14:58	899	319	3
039	2012-10-25T06:38:09	2012-10-25T06:53:58	923	276	2
040	2012-10-26T15:04:12	2012-10-26T15:20:57	980	339	3
041	2012-10-27T03:31:17	2012-10-27T03:46:58	920	301	2
042	2012-10-22T08:20:58	2012-10-28T02:13:58	983	291	2
043	2012-10-29T00:28:40	2012-10-29T00:42:58	845	269	2
045 <sup>a</sup>	2012-10-31T13:38:44	2012-10-31T13:55:58	1029	360	3

*Note.* <sup>a</sup>These pointings have been used in the timing analysis only (sequence #018 is affected by a larger than usual pointing error, while the #045 shows a too low statistics to perform a spectral fitting).

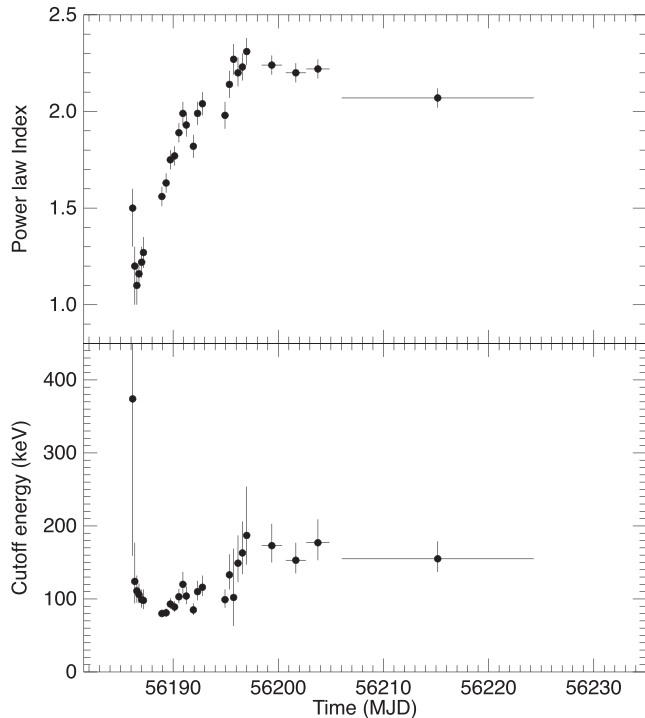
## 5 DESCRIBING BROAD-BAND EMISSION WITH HYBRID COMPTONIZATION MODELS

The IBIS spectra have been combined (when possible) with the XRT quasi-simultaneous spectra and fit with *eqpair*, the hybrid thermal/non-thermal Comptonization model developed by Coppi (1999). In *eqpair*, the emission of the disc/corona system is modelled by a spherical hot plasma cloud with continuous acceleration of electrons illuminated by soft photons emitted by the geometrically thin accretion disc. At high energy, the distribution of Comptonizing electrons is non-thermal, but at low energies a thermal population (Maxwellian) is established. The non-dimensional compactness parameter determines the properties of the plasma:

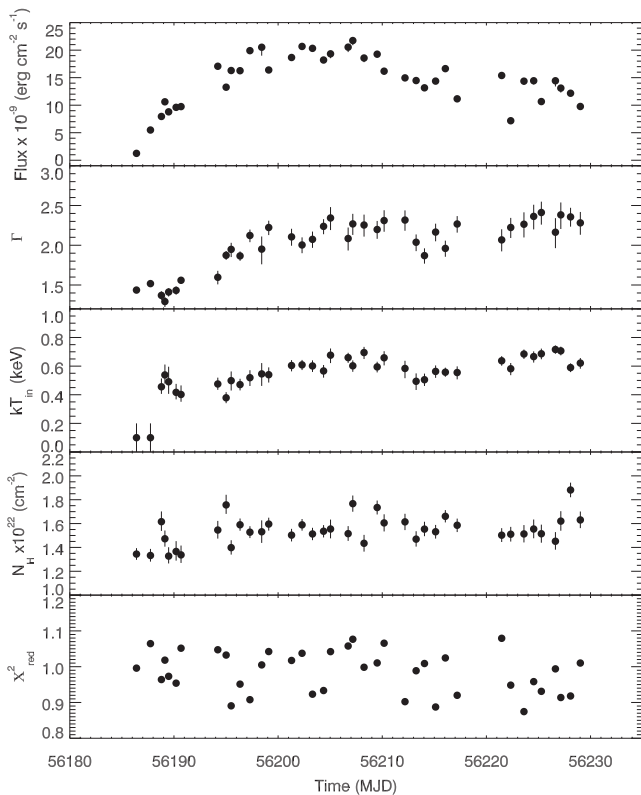
$$\ell = \frac{\sigma_T}{m_e c^3} \frac{L}{R} \quad (1)$$

where  $L$  is a power (luminosity) of the source supplied by different components,  $R$  is the radius of the sphere,  $\sigma_T$  is the Thomson cross-section,  $m_e$  is the electron mass and  $c$  is the speed of light. The *eqpair* compactness parameters are:  $\ell_s$ ,  $\ell_{th}$ ,  $\ell_{nth}$  and  $\ell_h = \ell_{th} + \ell_{nth}$ , corresponding to the power in soft disc photons entering the plasma, thermal electron heating, electron acceleration and total power supplied to the plasma, respectively. The spectral shape strongly depends on the compactness ratios  $\ell_h/\ell_s$  and  $\ell_{nth}/\ell_h$  and not on the single parameters. It is customary to fix  $\ell_s$  (parameter  $\ell_{bb}$  in *XSPEC*) to some reasonable value when fitting data with *eqpair* (e.g. Gierliński et al. 1999; Del Santo et al. 2008). Indeed, as suggested by the developer of *eqpair*,<sup>4</sup> for Galactic BHBs the best re-course may be simply to leave the soft photon compactness frozen at the unity. As a cross-check, we fit our spectra with  $\ell_{bb}=10$

<sup>4</sup> see <http://heasarc.gsfc.nasa.gov/xanadu/xspec/models/eqpap4.ps>



**Figure 2.** Power-law slope and high-energy cut-off evolution of the 26 IBIS/ISGRI spectra.



**Figure 3.** XRT parameters of the 39 spectra fitted with an absorbed power-law plus a disc blackbody. From top to bottom: 2–10 keV absorbed flux, power-law slope, disc temperature, column density and reduced  $\chi^2$ .

obtaining no variation in the spectral parameters. We definitely assumed  $\ell_{bb}=1$  (see also Malzac et al. 2006; Joinet et al. 2007; Del Santo et al. 2013) which implies that the variations of the ratio  $\ell_h/\ell_s$  is only due to changes in  $\ell_h$ . However, we do not know if this is what really happens or if it is the  $\ell_s$  which changes (there is no possibility to fix  $\ell_h$  in XSPEC).

High values of  $\ell_h/\ell_s$  (greater than 10) and  $\tau$  ( $>1$ ) are usually measured in spectra of BH binaries in HS (e.g. Ibragimov et al. 2005; Del Santo et al. 2013). Thus, spectra between A1 and A6 of SwJ1745 (Table 4, Fig. 7) show parameters typical of the HS, as also indicated by the timing features (see energy spectrum and PDS in Fig. 4, upper panel), while starting from group B1 to D4 typical parameters of the HIMS, i.e.  $\ell_h/\ell_s$  of the order of the unity, are inferred (Table 4). Interestingly, the Thomson optical depth of the corona is continuously decreasing as the outburst evolves, dropping from values of about 2.5 at the beginning of the HS down to 0.1 in the HIMS. It is worth noting that this parameter is also very variable within the HIMS itself, possibly associated with the variation of the size of the emitting region (see Section 6).

Usually, the reflection component (parameter  $\Omega/2\pi$ ) is faint (Zdziarski, Lubiński & Smith 1999) or sometimes absent in HS. Such a signature appears stronger in the intermediate states and becomes very strong in SS. This is expected when the system evolves from a geometry where the reflecting disc is truncated at a large distance from the black hole to a situation where the accretion disc is sandwiched by the illuminating corona (Done et al. 2007). In the latest version of *eqpair* (v. 1.10), the code uses *ireflect*, a convolution model for reflection from ionized material according to Magdziarz & Zdziarski (1995), and *rdblur* for rotational blurring.

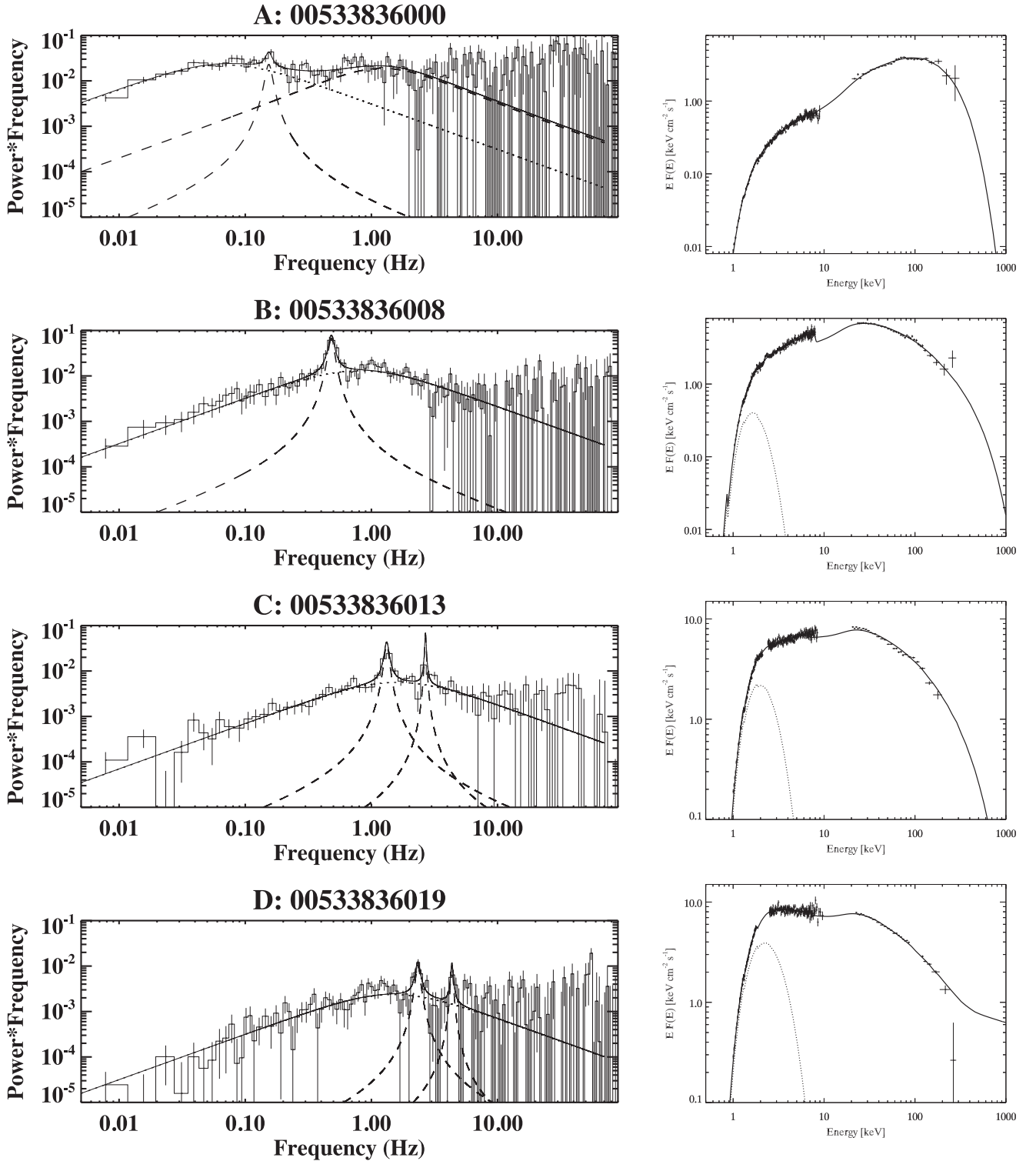
In SwJ1745, this component appears in spectrum A3. Introducing the reflection component in the model to our spectra improves the fit significantly (F-test probability =  $2.3 \times 10^{-8}$ ). When the statistical quality of the spectrum was too low to constrain the reflection parameter, this was fixed at  $\Omega/2\pi = 1$  (see Table 4).

As a disc model (internal to *eqpair*), we use an extension of the *diskbb*, i.e. a pseudo-Newtonian disc (*diskpn* in XSPEC) including corrections for temperature distribution near the black hole. The seed photon temperature  $kT_{\max}$  was frozen at 100 eV in the harder spectra (A1–A6). Then, from B1 to B4 and from B6 to B10,  $kT_{\max}$  was fixed at 300 eV which is comparable with the value inferred from the B5-008 spectrum. According to the temperature obtained for spectra C1-011 and C2-012, we fixed  $kT_{\max}$  at 400 eV in C3 and C4. Finally, from group C5-013 onwards, the disc blackbody temperature increases up to  $557^{+25}_{-49}$  eV.

The non-thermal electrons are injected with a power-law distribution  $\gamma^{-G_{inj}}$ , with Lorentz factors ranging from  $\gamma_{\min} = 1.3$  to  $\gamma_{\max} = 1000$ . Because of the low statistics at high energy, it is not possible to give constraints to the  $G_{inj}$  parameter, so as expected from shock acceleration models, we fixed  $G_{inj}$  at 2.5.

Concerning the fraction of Comptonization by non-thermal electrons, we found an indication of non-negligible values of the parameter  $I_{nth}/I_h$  only in the spectra D1–016, D2–019 and D3–020. The fraction of electron acceleration to the total power supplied to the plasma is about 0.25 (see Section 5.1 for an extensive discussion).

We have also estimated the bolometric fluxes (0.1–1000 keV) for each subgroup, and the fluxes of the two main spectral components over the whole band, such as the geometrically thin disc blackbody and the Comptonization by the hybrid corona electrons (see Table 4).

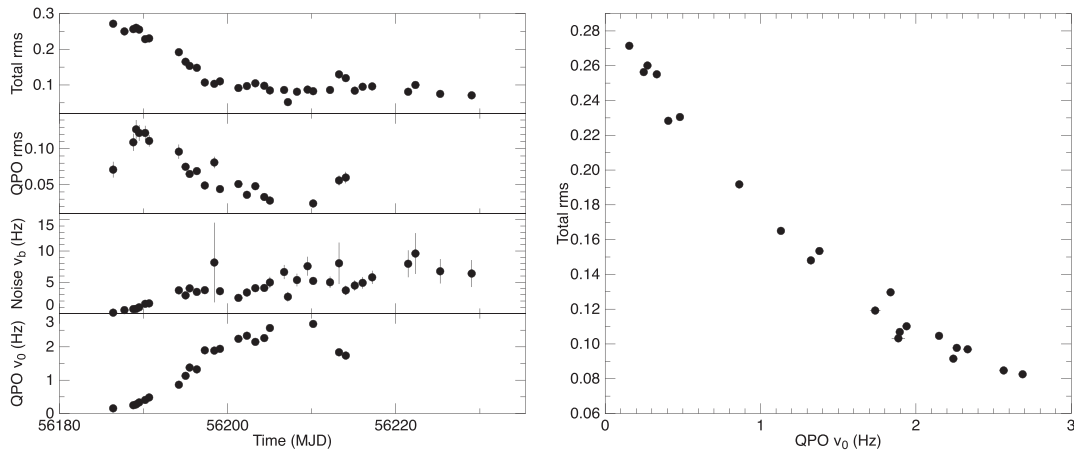


**Figure 4.** Left: XRT PDS of four pointings, i.e. #000, #008, #013, #019 fitted with a zero-centred Lorentzian plus one (or two) QPOs. Right: joint ISGRI-XRT energy spectra of four different subgroups, i.e. A3-000, B5-008, C5-013, D2-019, fitted with the *eqpair* model (solid line). The curve has been normalized taking into account an IBIS cross-normalization factor roughly ranging between 0.6 and 1. The disc blackbody component is also shown (dotted line). Spectral parameters are reported in Table 4.

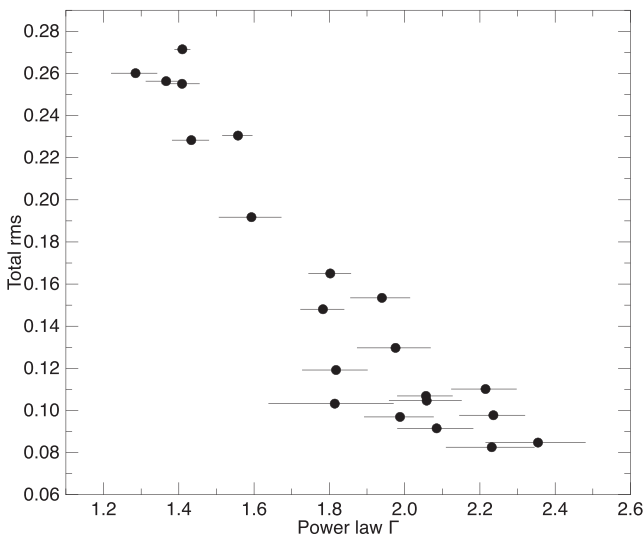
### 5.1 Focussing on the non-thermal Comptonization component

Despite the statistically acceptable fits obtained when fixing  $l_{\text{nth}}/l_{\text{h}}$  at 0 in spectra D1–016, D2–019 and D3–020, we have noted some residuals in the fits. In order to investigate further, the possible pres-

ence of the non-thermal component during the HIMS, the spectrum D1–016 has been fit with the *compPS* model (assuming a spherical geometry) with Maxwellian electron distribution (Poutanen & Svensson 1996). This resulted in a reduced  $\chi^2$  of 1.07 (652 d.o.f.).



**Figure 5.** *Left:* evolution of the main timing parameters (total rms uncertainties smaller than symbol size). *Right:* correlation between QPO frequency and the total rms.



**Figure 6.** Total fractional rms versus power-law spectral slope.

Adding a power-law component with a slope of  $2.5 \pm 0.1$ , the reduced  $\chi^2$  definitely improved down to 0.95 (650 d.o.f.). The F-test probability that this improvement was by chance is  $8.9 \times 10^{-18}$ . In addition, assuming a hybrid electron distribution in *compPS*, we obtained a  $\chi^2_{\text{red}} = 0.99(651)$ . However, because of the low statistics at high energy, we did not manage to constrain the electron power-law index ( $\Gamma_e < 5$ ). Similar results have been obtained for the two spectra D2–019 and D3–020.

In order to better quantify the Comptonization fraction by non-thermal electrons, we averaged the 26 IBIS spectra to increase the statistics at high energy (above 100 keV). We identified four bigger groups showing similar values of the parameter  $\ell_h/\ell_s$ : A, B1–B5, B6–C2, C3–D4, namely  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$  (see Fig. 1, bottom). We combined them with the JEM-X2 (quasi) simultaneous spectra (IBIS cross-normalization factor of about 0.9) and performed the fitting procedure with both *eqpair* and *compPS* (best fit in Table 5). First, in *eqpair* we fixed  $\ell_{\text{nth}}/\ell_h = 0$  for all spectra obtaining an unacceptable reduced  $\chi^2$  of 4.72(49) by fitting the spectrum  $\delta$ . In the latter, a fraction of electron acceleration to the total power supplied to the plasma of  $0.59^{+0.02}_{-0.05}$  is required. In Fig. 8, the four JEM-X2–IBIS energy spectra and best-fitting models are shown.

Then, we fitted the four JEM-X2/IBIS spectra with *compPS* in the case of a Maxwellian electron distribution. Also in this case, the fit of the last spectrum was unacceptable giving a reduced  $\chi^2$  of 9.3(49). So that, assuming a hybrid thermal/non-thermal electron population also in *compPS* provides a good fit (see Table 5).

## 6 DISCUSSION

During the SwJ1745 outburst, we have observed only the HS-to-HIMS transition which occurred most likely between MJD 56187.2 and MJD 56188.7 (in the gap between groups A and B, see Fig. 1) when the QPO rms started to decrease (see Fig. 5, left). We did not witness the SIMS, which is characterized by noise that is even weaker than we observe, i.e. below 5 per cent. Even though the decreasing X-ray flux seems to indicate an evolution back to the HS (see Kalemci et al. 2014), we cannot exclude that a secondary maximum (i.e. a transition to the SS) occurred during the gap in *INTEGRAL* and *Swift* observations (2012 November–2013 January). This second peak in the X-ray light curve occurring several months after the first one has been observed in other transient BH binaries (Chen, Livio & Gehrels 1993; Castro-Tirado, Ortiz & Gallego 1997). However, radio band observations strengthen the failed outburst hypothesis, since they indicate that the self-absorbed radio jets were never fully quenched (Curran et al. 2014).

A correlation between the timing and spectral parameters (i.e. total rms and power-law slope) obtained with the XRT data has been derived. The total fractional rms diminishes during a transition from hard to softer states for two reasons. The first reason is an increase of the amount of soft photons coming from an increasingly bright accretion disc in the energy band where the rms is measured (0.3–10 keV here). The disc photons are generally speaking not variable (but see Wilkinson & Uttley 2009) and therefore they dilute the variability carried by the harder photons, causing a general decrease of the fractional rms. The second reason is an intrinsic decrease of the variability of the hard photons. In the context of the truncated disc model and rigid precession of the inner flow (see e.g. Ingram, Done & Fragile 2009; Motta et al. 2015), both the noise and the QPOs observed in the HS and in the HIMs are produced in the inner part of the accretion flow that precesses in a rigid fashion following the Lense–Thirring effect. The QPOs are produced via the modulation imprinted by the precession to the emission, while the noise, which carries most of the variability, is produced via



**Table 4.** Best-fitting parameters of the joint IBIS/ISGRI and XRT spectra when available (on the top) and of the joint IBIS and JEM-X2 spectra (on the bottom). Fits have performed with *eqpair*. See the text for the parameters description. Values in parenthesis denote parameters fixed during the fits. Bolometric flux (0.1–1000 keV) as well as fluxes of the two main components, i.e. disc and Comptonization, have been estimated.

Group IBIS-XRT	$N_H \times 10^{22}$ ( $\text{cm}^{-2}$ )	$l_h/l_s$	$\tau_p$	$\Omega/2\pi$	$l_{\text{nth}}/l_h$	$kT_{\text{max}}$ (eV)	$\chi^2_{\nu}$ (dof)	$F \times 10^{-9}$ ( $\text{erg cm}^{-2} \text{s}^{-1}$ )		
								Bol	disc	Compt
A1	–	$20^{+3}_{-5}$	$<2.4$	(0)	(0)	(100)	1.10(39)	6.2	0.2	6.0
A2	–	$24^{+2}_{-5}$	$2.6^{+0.4}_{-0.6}$	(0)	(0)	(100)	0.80(46)	8.8	0.14	8.7
A3-000	$1.36 \pm 0.02$	$35 \pm 3$	$2.4^{+0.2}_{-0.5}$	$0.7 \pm 0.2$	(0)	(100)	1.05(730)	16.8	0.19	12.8
A4-002	$1.35^{+0.03}_{-0.02}$	$24 \pm 2$	$2.2^{+0.1}_{-0.5}$	$0.5^{+0.2}_{-0.1}$	(0)	(100)	0.85(154)	20.0	1.1	15.5
A5	–	$15^{+2}_{-1}$	$2.1^{+0.3}_{-0.1}$	$<0.4$	(0)	(100)	0.98(45)	28.5	0.9	24.7
A6	–	$15.0^{+0.6}_{-0.7}$	$1.7^{+0.3}_{-0.2}$	$0.7 \pm 0.3$	(0)	(100)	0.77(47)	31.2	1.0	23.9
B1	–	$3.6^{+0.2}_{-0.3}$	$1.7 \pm 0.1$	$0.2^{+0.2}_{-0.1}$	(0)	(300)	0.66(45)	61.4	8.2	49.5
B2	–	$3.1 \pm 0.1$	$1.38^{+0.14}_{-0.07}$	$0.54^{+0.09}_{-0.24}$	(0)	(300)	0.97(45)	59.6	9.1	43.9
B3	–	$2.6 \pm 0.2$	$1.1^{+0.2}_{-0.3}$	$0.6^{+0.5}_{-0.1}$	(0)	(300)	0.71(45)	60.3	10.7	42.7
B4	–	$2.17^{+0.03}_{-0.35}$	$0.79^{+0.06}_{-0.24}$	$1.07^{+0.16}_{-0.08}$	(0)	(300)	0.81(48)	56.2	11.4	35.0
B5-008	$1.47 \pm 0.05$	$2.0 \pm 0.3$	$0.6 \pm 0.1$	$<1.7$	(0)	$275^{+19}_{-23}$	1.04(709)	50.7	10.0	26.1
B6	–	$1.23^{+0.05}_{-0.03}$	$0.32^{+0.19}_{-0.09}$	(1)	(0)	(300)	1.11(46)	71.4	25.1	37.3
B7	–	$1.4^{+0.2}_{-0.1}$	$0.43^{+0.15}_{-0.07}$	(1)	(0)	(300)	0.69(36)	65.6	21.4	35.8
B8	–	$1.97^{+0.07}_{-0.26}$	$0.86^{+0.05}_{-0.17}$	(1)	(0)	(300)	0.83(48)	53.7	12.1	33.5
B9	–	$1.4^{+0.2}_{-0.3}$	$0.5^{+0.1}_{-0.2}$	(1)	(0)	(300)	0.80(46)	62.1	20.1	34.1
B10	–	$1.28^{+0.04}_{-0.14}$	$0.45^{+0.02}_{-0.09}$	(1)	(0)	(300)	0.79(46)	66.1	22.5	35.6
C1-011	$1.88^{+0.04}_{-0.07}$	$1.05^{+0.09}_{-0.07}$	$0.32^{+0.09}_{-0.07}$	(1)	(0)	$361 \pm 15$	1.03(659)	68.6	25.8	34.6
C2-012	$1.44^{+0.04}_{-0.02}$	$1.35 \pm 0.06$	$0.65^{+0.02}_{-0.03}$	(1)	(0)	$449^{+23}_{-36}$	1.04(695)	71.5	21.4	40.2
C3	–	$0.64 \pm 0.04$	$0.12^{+0.03}_{-0.05}$	(1)	(0)	(400)	1.08(46)	52.8	27.8	19.8
C4	–	$0.69^{+0.08}_{-0.06}$	$0.15^{+0.07}_{-0.03}$	(1)	(0)	(400)	0.72(46)	54.7	27.7	21.6
C5-013	$1.66^{+0.01}_{-0.07}$	$1.17^{+0.12}_{-0.06}$	$0.44^{+0.11}_{-0.02}$	(1)	(0)	$406 \pm 17$	1.03(705)	77.2	26.2	40.9
C6-014	$1.56^{+0.04}_{-0.05}$	$1.04^{+0.04}_{-0.05}$	$0.66^{+0.04}_{-0.05}$	(1)	(0)	$487 \pm 30$	0.99(703)	83.6	29.8	45.4
D1-016	$1.47^{+0.07}_{-0.02}$	$1.0^{+0.04}_{-0.05}$	$0.63^{+0.17}_{-0.03}$	(1)	$0.24^{+0.07}_{-0.03}$	$513^{+36}_{-44}$	0.95(651)	68.4	25.1	36.4
D2-019	$1.45 \pm 0.04$	$1.08^{+0.04}_{-0.05}$	$0.67^{+0.05}_{-0.12}$	(1)	$0.24^{+0.02}_{-0.04}$	$541^{+27}_{-29}$	0.93(656)	81.9	28.1	43.9
D3-020	$1.44 \pm 0.04$	$1.02^{+0.05}_{-0.02}$	$0.48^{+0.06}_{-0.09}$	(1)	$0.23^{+0.03}_{-0.10}$	$557^{+25}_{-49}$	0.97(677)	84.2	31.9	43.4
D4	–	$1.05^{+0.02}_{-0.05}$	$0.26^{+0.02}_{-0.05}$	(1)	(0)	(500)	0.74(48)	29.4	11.5	14.3

propagation of mass accretion rate fluctuations in the same flow, fundamentally causing intrinsic brightness variability. The decrease of the outer radius of the inner flow (linked to the truncation radius of the disc) causes the loss of the variability at low frequencies as the source moves to softer states. This effect combines with a cooling of the inner hot flow, that is responsible for the decrease in the number of hard photons (both producing the noise and the QPOs), causing a further decrease of the total fractional variability.

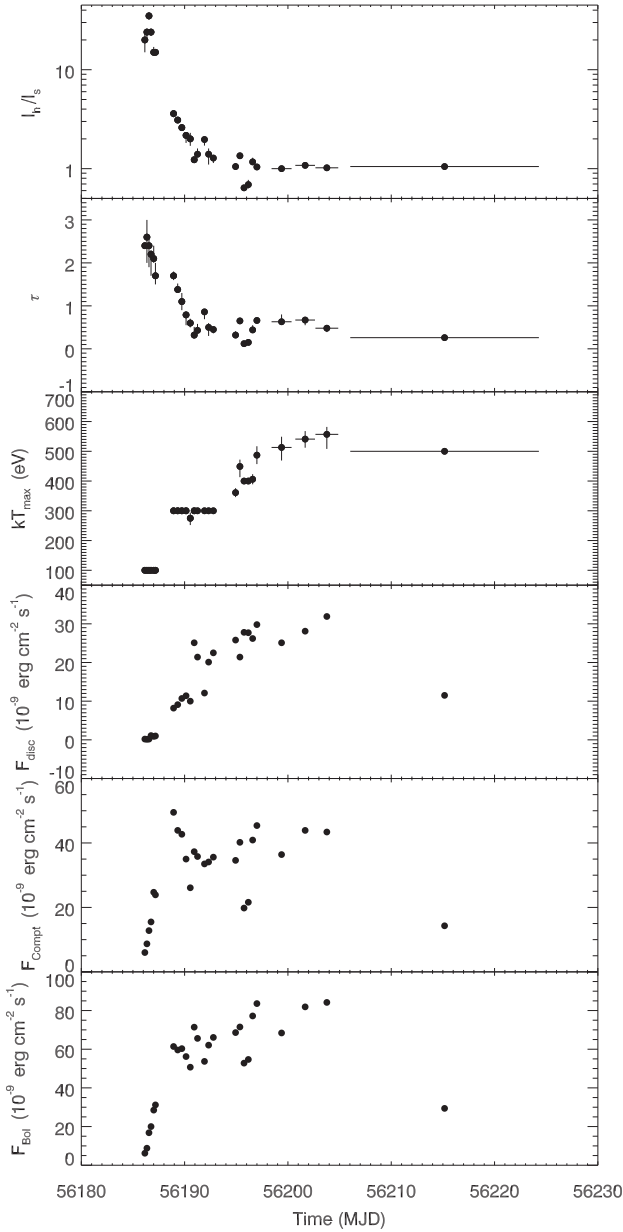
Fitting the XRT/ISGRI spectra with a hybrid Comptonization model (*eqpair*), we have observed a decreasing of the  $l_h/l_s$  parameter during the outburst. We do not know whether the  $l_h/l_s$  variation is due to changes in the heating rate of the corona (related to  $l_h$ ), changes in the luminosity of the disc ( $l_s$ ), or both.

In SwJ1745, we observe a change of the coronal optical depth by a factor of 20 which could be associated either with a change in electron density or in the size of the corona (since  $\tau \propto n_e R$ ). A constant electron density ( $n_e$ ) would imply that the corona is larger in the HS than in the HIMS by up to a factor of  $\sim 20$ .

Looking at the flux variation (Fig. 7 and Table 4), it is clear that the bolometric flux increases in a few days and is mainly driven by the Comptonization component. Then, during the transition to the

HIMS, the Comptonization luminosity, which is related both to the electron heating and electron acceleration, varies by only a factor of 2, while the disc flux increases by a factor of 10 or even more as the outburst proceeds. This would imply that the HS-to-HIMS spectral transition is driven by changes in the soft photon flux in the corona also associated with the increase of disc temperatures (which is also observed). The increasing of the soft photon flux should be also responsible of the cooling of the corona which reflects in the hard X-ray spectral softening observed (Gilfanov, Churazov & Revnivtsev 1999). In contrast, the heating rate of the electrons in the corona appears to change by only a factor of 2. Although other models (i.e. dynamic accretion disc corona) cannot be ruled out, these results are consistent with the so-called truncated disc model (Done et al. 2007), also supported by the increase of the Compton reflection and by the larger QPO frequencies in the HIMS compared to the HS (Gilfanov et al. 1999; Zdziarski et al. 1999).

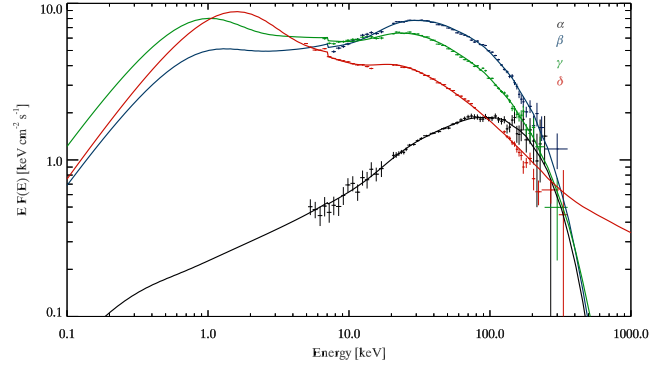
We did not find in the HS any contribution from the non-thermal electron Comptonization as observed by *INTEGRAL* in a number of BHBs (e.g. Pottschmidt et al. 2008; Bouchet et al. 2009; Droulans et al. 2010). This is possibly due to the lack of long observations in a stable spectral state, which results in low statistics carried out above



**Figure 7.** Spectral parameters and flux evolution obtained with the *eqpair* model (values reported in Table 4).

**Table 5.** Best-fitting parameters of the joint IBIS and JEM-X2 spectra. Fits have performed with *eqpair* (first line) and *compPS* (second line). In the latter, seed photons are multicolour disc blackbody ( $kT_{bb}$ ),  $\tau$ - $y$  is the Compton parameter and  $\Gamma_e$  is the electron power-law index. Values in parenthesis denote parameters fixed during the fits.

Group	$l_h/l_s$	$\tau_p$ $\tau$ - $y$	$\Omega/2\pi$	$l_{nth}/l_h$ $\Gamma_e$	$kT_{max}$ $kT_{bb}$ (eV)	$kT_e$ (keV)	$\chi^2_\nu$ (dof)
$\alpha$	$22 \pm 2$	$2.2^{+0.1}_{-0.4}$	$0.3^{+0.3}_{-0.1}$	(0)	(100)	—	0.93(60)
	—	$<3$	$0.6 \pm 0.2$	—	(100)	$65^{+5}_{-2}$	1.01 (60)
$\beta$	$2.7 \pm 0.1$	$1.12 \pm 0.05$	$0.8 \pm 0.1$	(0)	(300)	—	1.03(57)
	—	$1.8 \pm 0.2$	$0.9 \pm 0.2$	—	(300)	$57^{+7}_{-5}$	0.98 (57)
$\gamma$	$1.57^{+0.06}_{-0.05}$	$0.69 \pm 0.02$	$0.7 \pm 0.1$	(0)	(300)	—	0.91(59)
	—	$0.9^{+0.2}_{-0.3}$	$0.9 \pm 0.2$	—	(300)	$82^{+23}_{-13}$	0.91(59)
$\delta$	$0.75^{+0.13}_{-0.06}$	$0.68^{+0.08}_{-0.16}$	(1)	$0.59^{+0.02}_{-0.05}$	(500)	—	0.95(48)
	—	$1.4^{+0.7}_{-0.4}$	(1)	$4^{+0.2}_{-0.3}$	(500)	$<26$	0.75(48)



**Figure 8.** Quasi-simultaneous IBIS and JEM-X energy spectra averaged on the periods  $\alpha$  (black),  $\beta$  (blue),  $\gamma$  (green),  $\delta$  (red) fitted with *eqpair* (For the interpretation of the references to colour in this figure caption, the reader is referred to the electronic version of this paper).

200 keV in the IBIS spectra. However, as expected, this component is observed in the HIMS, when the thermal electrons of the corona cool and the non-thermal Comptonization occurs.

## 7 CONCLUSIONS

We have presented spectral and timing analysis of *INTEGRAL* and *Swift* observations of the transient BHB SwJ1745. Data covered the first part of the outburst spanning from 2012 September 16 until October 30. Our results can be briefly summarized.

(1) Despite the source brightness (up to  $L_{bol} \sim 0.4 L_{Edd}$ ), SwJ1745 never reached the SS, increasing the number of ‘failed outbursts’ observed in BHBs. This implies that the low luminosities observed in most of the previous failed outburst are not the only discriminant for such a phenomenon.

(2) The truncated disc model is supported by the evolution of the spectral parameters as the source goes from the HS to the HIMS and by the high flux of disc photons combined with the increasing of the blackbody temperature. In addition, the timing results and, in particular, the correlation between the spectral softening and the total fractional rms strengthen this scenario.

(3) We did not find any contribution from non-thermal Comptonization in the HS. On the contrary, a non-thermal fraction of 0.6 contributes to the total Comptonization emission in the HIMS, when the cooling of thermal electrons is also observed.

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